

Form PTU-1390 (Rev. 02-2005)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER ABE-035										
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. Not Yet Assigned <b>2000750</b>											
INTERNATIONAL APPLICATION NO. PCT/JP2004/008632	INTERNATIONAL FILING DATE June 18, 2004	PRIORITY DATE CLAIMED June 18, 2003											
TITLE OF INVENTION. LOOSENING PREVENTION STRUCTURE FOR BOLT AND NUT		DATE December 15, 2005											
APPLICANT(S) FOR DO/EO/US Masayuki URYU													
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). (20 pages and 9 sheets of drawings (Figs. 1-9)).             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A FIRST preliminary amendment.</li> <li>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information:             <table border="0"> <tr> <td>a. PCT/RO/101</td> <td>f. PCT/IB/304</td> </tr> <tr> <td>b. PCT/ISA/210</td> <td>g. PCT/IB/308 (2<sup>nd</sup> Notice)</td> </tr> <tr> <td>c. PCT/ISA/220</td> <td>h. WO 2004/113744 A1</td> </tr> <tr> <td>d. PCT/ISA/237</td> <td>i. Transmittal of Assertion of Entitlement to Small Entity Status</td> </tr> <tr> <td>e. PCT/IB/301</td> <td></td> </tr> </table> </li> </ol>				a. PCT/RO/101	f. PCT/IB/304	b. PCT/ISA/210	g. PCT/IB/308 (2 <sup>nd</sup> Notice)	c. PCT/ISA/220	h. WO 2004/113744 A1	d. PCT/ISA/237	i. Transmittal of Assertion of Entitlement to Small Entity Status	e. PCT/IB/301	
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U.S. Application No. Not yet assigned <b>10/560750</b>		International Application No. PCT/JP2004/008632		Attorney's Docket No. ABE-035										
				CALCULATIONS	PTO USE ONLY									
<p>The following fees are submitted:</p> <table border="0"> <tr> <td>21. [XX] Basic National Stage Fee . . . . .</td> <td>\$300.00</td> <td>\$ 300.00</td> </tr> <tr> <td>22. [XX] Examination fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) . . . . .</td> <td>\$100.00</td> <td></td> </tr> <tr> <td>All other situations . . . . .</td> <td>\$200.00</td> <td>\$ 200.00</td> </tr> </table>						21. [XX] Basic National Stage Fee . . . . .	\$300.00	\$ 300.00	22. [XX] Examination fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) . . . . .	\$100.00		All other situations . . . . .	\$200.00	\$ 200.00
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All other situations . . . . .	\$200.00	\$ 200.00												
<p>23. [XX] Search Fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority . . . . . \$100.00 International Search Report prepared and provided to the Office . . . . . \$400.00 All other situations . . . . . \$500.00</p> <table border="0"> <tr> <td>TOTAL OF 21, 22 AND 23 =</td> <td>\$ 900.00</td> </tr> </table>						TOTAL OF 21, 22 AND 23 =	\$ 900.00							
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<p>Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>														
<p>National Stage Application Size Fee - If the specification and drawings exceed 100 sheets, the application size fee due is \$250.00 for each additional 50 sheets or fraction thereof.</p>														
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE											
Total claims	2 - 20		x \$ 50.00	\$ 0.00										
Indep. claims	1 - 3		x \$ 200.00	\$ 0.00										
Multiple dependent claim(s) (if applicable)			+ \$ 360.00	\$ 0.00										
TOTAL OF ABOVE CALCULATIONS =				\$ 900.00										
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$ 450.00										
SUB TOTAL =				\$ 450.00										
Processing fee \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00										
TOTAL NATIONAL FEE =				\$ 450.00										
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00										
TOTAL FEES ENCLOSED =				\$ 490.00										
				Amount to be refunded:	\$									
				charged	\$									
<p>a. [XX] Payment in the amount of <u>\$490.00</u> is authorized by credit card (PTO-2038).      b. [ ] Check in the amount of <u>\$*.00</u> to cover the above fees is enclosed.      c. [ ] Please charge my Deposit Account No. <u>111833</u> in the amount of <u>\$ </u> to cover the above fees.      d. [XX] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>111833</u>.</p>														
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to review (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.</p>														
<p>SEND ALL CORRESPONDENCE TO: KUBOVCIK &amp; KUBOVCIK The Farragut Building Suite 710 900 17th Street, N.W. Washington, D.C. 20006</p>														
<p>      Signature  <u>Keiko Tanaka Kubovcik</u>      Name  <u>40,428</u>      Registration Number  <u>December 15, 2005</u>      Date  <u></u></p>														

KTK/jbf

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop PCT

Intl.

Appl. No. : PCT/JP2004/008632

Applicant : Masayuki URYU

Intl. Appl.

Filed : June 18, 2004

TC/A.U. : Not Assigned

Examiner : Not Assigned

Dkt. No. : ABE-035

Cust. No. : 20374

**TRANSMITTAL OF ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

December 15, 2005

Sir:

The applicant claims small entity status as provided under 37 CFR §1.27(a)(2). An executed Assertion of Entitlement to Small Entity Status is attached hereto.

In the event any fees are due in connection with this matter the Commissioner is authorized to debit our Deposit Account No. 111833.

Respectfully submitted,

KUBOVCIK & KUBOVCIK



Keiko Tanaka Kubovcik  
Reg. No. 40,428

U.S. National Stage of  
PCT/JP2004/008632  
TRANSMITTAL OF ASSERTION OF  
ENTITLEMENT TO SMALL ENTITY STATUS

PATENT

Atty. Docket No. ABE-035  
The Farragut Building  
Suite 710  
900 17th Street, N.W.  
Washington, D.C. 20006  
Tel: 202-887-9023  
Fax: 202-887-9093  
KTK/jbf

**ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS**

Inventors: Masayuki Uryu

Title of the Invention: LOOSENING PREVENTION STRUCTURE FOR  
BOLT AND NUT

Filed: \_\_\_\_\_

Submitted herewith

JAPAN MECHANICAL ENGINEERING Co.,LTD., the assignee of the entire right, title and interest in the above-identified application, is entitled to small entity status with respect to the above-identified application as a small business concern as defined under 37 C.F.R. 1.27 (a)(2).

Signature: 

Name: Masayuki Uryu

Date: 11th November 2005